

**UNITED STATES OF AMERICA
NATIONAL CREDIT UNION ADMINISTRATION
Alexandria, Virginia**

_____)
In the Matter of)

Catherine Marriott)
_____)

Docket No.: 15-0090-R1

NOTICE OF PROHIBITION

WHEREAS on or about December 17, 2013, Catherine Marriott (“Marriott”) was convicted of the charge of Grand Larceny, N.Y. Pub. Law § 155.35, a charge to which she had previously pleaded guilty, in connection with her employment at UMICO Federal Credit Union, in the Oneida County Court, in Utica, New York;

WHEREAS a violation of N.Y. Pub. Law § 155.35 is a criminal offense involving dishonesty and breach of trust;

NOW, THEREFORE, YOU ARE HEREBY NOTIFIED THAT:

1. Pursuant to 12 U.S. C. § 1785(d)(1)(A) and 12 U.S.C. § 1829(a)(1)(A), Marriott is prohibited from becoming an “institution affiliated party” of any insured depository institution, as defined in 12 U.S.C. § 1786(r); otherwise participating, directly or indirectly, in the conduct of the affairs of any insured depository institution; and owning or controlling, directly or indirectly, any insured depository institution;

2. Pursuant to 12 U.S. C. § 1785(d)(1)(B) and 12 U.S.C. § 1829(a)(1)(B), no insured depository institution may permit Marriott to engage in any conduct or continue in any relationship prohibited in paragraph 1 above;

3. Pursuant to 12 U.S.C. § 1785(d)(3) and 12 U.S.C. § 1829(b), whoever knowingly violates paragraph 1 or 2 above is subject to a fine of not more than \$1 million for each day such prohibition is violated, or imprisonment for not more than five (5) years, or both;

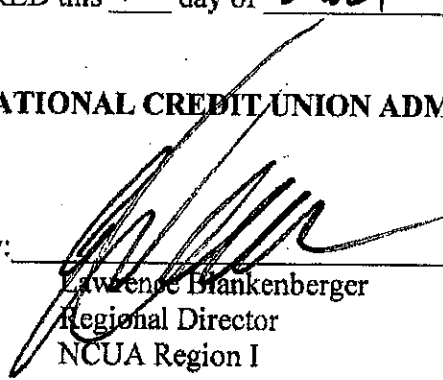
4. The "Record of Conviction" document, Indictment No. I13-456, is made a part hereof and is incorporated herein by reference; and

5. This Notice of Prohibition shall be effective and enforceable on the date set forth below.

IT IS SO ORDERED this 15th day of July, 2015.

NATIONAL CREDIT UNION ADMINISTRATION BOARD

By: _____


Lawrence Blankenberger
Regional Director
NCUA Region I

STATE OF NEW YORK
ONEIDA COUNTY COURT
ONEIDA COUNTY COURT HOUSE
ELIZABETH STREET
UTICA, NEW YORK 13501

RECORD OF CONVICTION
ONEIDA COUNTY COURT

STATE OF NEW YORK)
COUNTY OF ONEIDA)
CITY OF UTICA)

INDICTMENT NO.: 113-456
SCI NO:

THE PEOPLE OF THE STATE OF NEW YORK

VS

CATHERINE MARRIOTT

D.O.B.: 06/15/1971

THE ABOVE-NAMED DEFENDANT WAS DULY CONVICTED IN ONEIDA COUNTY COURT ON 12/17/2013 UPON A PLEA OF GUILTY TO AN AMENDED 1ST COUNT OF GRAND LARCENY, 3RD DEGREE, (PL-155.35), FELONY; IN FULL SATISFACTION OF THE INDICTMENT.

ON 04/03/2014, THE ABOVE-NAMED DEFENDANT WAS DULY SENTENCED TO 5 YEARS PROBATION; 6 MONTHS DOMICILE RESTRICTION; AND MANDATORY SURCHARGE AND FEES IN THE AMOUNT OF \$375.00. DEFENDANT WAIVED APPEAL.

ON 10/02/2014, THE ABOVE-NAMED DEFENDANT WAS ORDERED TO PAY RESTITUTION IN THE AMOUNT OF \$26,000.00.

I CERTIFY THAT THE ABOVE IS A TRUE EXTRACT FROM THE MINUTES OF SAID COURT, KEPT BY ME AS A CLERK THEREOF.


SUSAN CAREAU
COURT CLERK

WITNESS THE SEAL OF SAID COURT, AT THE CITY OF UTICA, NEW YORK, THIS 3RD DAY OF FEBRUARY, 2015.